



BOX AF

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jörg Bobzin                      ATTY. DOCKET NO: 09800280-0001  
SERIAL NO.: 09/856,068                      GROUP ART UNIT: 2834  
FILED: July 30, 2001                      EXAMINER: Karl I.E. Tamai  
TITLE: "ELECTRICAL MACHINE"

AMENDMENT AFTER FINAL

Commissioner for Patents w/Fee  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R:

Applicant petitions the Commissioner of Patents and Trademarks to extend the time for response to the Office Action dated August 4, 2003 for 2 months so that the period for response is extended to January 4, 2004. A PTO-2038 form is attached to cover the cost of the extension and any additional claims. Any deficiency or overpayment should be charged or credited to deposit Account No. 19-3140.

In response to the Office Action dated August 4, 2003, please amend the application as follows:

Adjustment date: 03/03/2004 SDIRETA1  
12/24/2003 SZEWDIE1 00000088 09856068  
01 FC:1201                      -258.00 OP  
02 FC:1202                      -1638.00 OP  
03 FC:1203                      -290.00 OP

Adjustment date: 03/03/2004 SDIRETA1  
01/28/2004 AJOHNS01 00000007 193140 09856068  
01 FC:1202                      210.00 CR

12/24/2003 SZEWDIE1 00000088 09856068

01 FC:1201                      258.00 OP  
02 FC:1202                      1638.00 OP  
03 FC:1203                      290.00 OP

03/03/2004 SDIRETA1 00000018 09856068

01 FC:2202                      819.00 OP  
02 FC:2201                      172.00 OP  
03 FC:2202                      210.00 OP

Refund Ref:                      0030014721  
03/03/2004

Credit Card Refund Total:                      \$985.00

Am Exp.: XXXXXXXXXXXX1002



Refund

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jörg Bobzin

ATTORNEY DOCKET NO: 09800280-0001

PREVIOUS DOCKET NO. 09796503-0138

SERIAL NO.: 09/856,068

GROUP ART UNIT: 2834

FILED: July 30, 2001

EXAMINER: Karl I.E. Tamai

TITLE: "ELECTRICAL MACHINE"

Mail Stop 16

Director of the U.S. Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

Attention: Refund Section, Accounting Division, Office of Finance

**REQUEST FOR REFUND**  
**(37 C.F.R. 1.28(a))**

S I R:

Attached is a copy of the Amendment cover sheet which was mailed on December 19, 2003. Authorization to charge our American Express Account with PTO Form 2038 was attached authorizing the amount of \$1,093.00 to cover the charge for the additional claims.

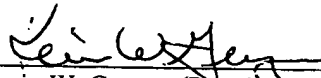
Attached is a copy of the Filing Receipt September 5, 2001 which shows the Applicant to be a *Small Entity*.

The Patent Office, by way of our American Express Account, charged us \$2,186.00 which is the large entity fee for the additional claims as amended. This Applicant is **small entity** and the charge to our American Express should have been \$1,093.00.

The amount of \$210.00 for the 2 month extension fee was charged separately to our Deposit Account No. 19-3140 on January 28, 2004.

Applicant hereby requests a refund to our account in the amount of \$1,093.00.

Respectfully submitted,

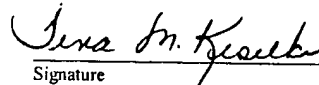


Kevin W. Guynn (Reg. No. 29,927)  
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Chicago, Illinois 60606-1080  
(312)876-2578

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I hereby certify that this document and any being referred to as attached or enclosed is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Mail Stop 16 Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Attn: Refund Section, Accounting Division, Office of Finance, on



Signature

2-19-04  
Date

**SONNENSCHN NATH & ROSENTHAL LLP**

8000 Sears Tower  
233 S. Wacker Drive  
Chicago, IL 60606  
312/876-0200

**FILE**

APPLICANT: Jörg Bobzin

ATTORNEY DOCKET NO. 09800280-0001

U.S. SERIAL NO.: 09/856,068

GROUP ART UNIT: 2834

FILED: July 30, 2001

EXAMINER: Karl I.E. Tamai

FOR: "ELECTRICAL MACHINE"

**AMENDMENT AFTER FINAL**

Mail Stop - Response - FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

Transmitted herewith is an amendment in the above-identified application.

☒ Additional claim fee is required.

The fee has been calculated as shown below.

CLAIMS AS AMENDED						
	(2) CLAIMS REMAINING AFTER AMENDMENT		(4) HIGHEST NO. PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEE
TOTAL CLAIMS	134	MINUS	43	91	(X) x 9.00 ( ) x 18.00	\$ 819.00
INDEP. CLAIMS	7	MINUS	4	3	(X) x 43.00 ( ) x 86.00	\$ 129.00
Application amended to contain any multiple dependent claims not previously paid for.				(X) YES ( ) NO	(X) \$145.00 ( ) \$290.00 ONE TIME	\$ 145.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$ 1,093.00	

- ☒ Applicant petitions the Commissioner of Patents and Trademarks to extend this time for response to the Office Action dated 8/4/03 for 2 months so that the period for response is extended to 1/4/04 at \$210.00.
- ☒ The Commissioner is requested and authorized to charge the amount of \$ 1,303.00 for the additional fee to American Express Account No. 378571697401002. Form 2038 is attached for that purpose.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or to credit any overpayment to account No. 19-3140. PTO Customer Account No. 26263. A duplicate of this sheet is enclosed.

When phoning re this application, please call 312/876-8000 - Ext. 2886.

SONNENSCHN NATH &amp; ROSENTHAL LLP

BY Kevin W. Guyan (Reg. No. 29,927)  
Kevin W. Guyan**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, Response w/ Fee, P.O. Box 1450, Alexandria, VA 22313-1450 on December 19, 2003

Sira M. Kiselev  
SIGNATURE

December 19, 2003



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/856,068	07/30/2001	2834	693	09796503-013		142	1

Kevin W Guynn  
Sonnenschein Nath & Rosenthal  
8000 Sears Tower  
233 South Wacker Drive  
Chicago, IL 60606-6404



CONFIRMATION NO. 3685

## FILING RECEIPT



\*OC000000006516135\*

Date Mailed: 09/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jorg Bobzin, Kiel, GERMANY;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/EP99/08683 11/11/1999

## Foreign Applications

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

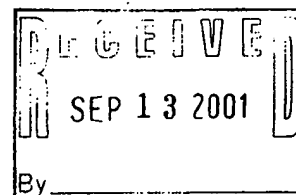
## Title

Electric machine

Preliminary Class

310

RECEIVED 9/13/01 DOCKET  
DOCKETED OFF  
DIARIED 9/5/01  
BY: JCD



Data entry by : ORDENEZ, MARTA

Team : OIPE

Date: 09/05/2001

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

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